

JOSEPH P. RUSSONIELLO  
United States Attorney  
BRIAN J. STRETCH (CASBN 163973)  
Chief, Criminal Division  
STACEY P. GEIS (CASBN 181444)  
JONATHAN SCHMIDT (CASBN 230646)  
Assistant United States Attorneys  
450 Golden Gate Ave., 11<sup>th</sup> Floor  
San Francisco, CA 94102  
(415) 436-6776 (tel - Schmidt) (x7126 - Geis)  
(415) 436-7234 (fax)  
Stacey.Geis@usdoj.gov, Jonathan.Schmidt@usdoj.gov

RONALD J. TENPAS  
Assistant Attorney General  
Environment and Natural Resources Division  
United States Department of Justice  
RICHARD A. UDELL  
Senior Trial Attorney  
Environmental Crimes Section  
P.O. Box 23985  
L'Enfant Plaza Station  
Washington, DC 20004  
(202) 305-0361 (tel)  
(202) 514-8865 (fax)

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

UNITED STATES OF AMERICA,	)	Case No. CR 08-0160-1 SI
	)	
Plaintiff(s),	)	<b>GOVERNMENT'S SUBMITTAL OF</b>
	)	<b>DRAFT PROTECTIVE ORDER</b>
vs.	)	
	)	
JOHN J. COTA, et al.,	)	
	)	
Defendant(s).	)	
	)	
	)	

---

Pursuant to stipulation of the parties, the United States, with the agreement of Defendant Cota, and Defendant Fleet hereby submit the following request for the issuance of a protective order.

The United States believes that its discovery obligations include providing defendant Fleet Management Ltd with the medical records of Captain Cota and providing Captain Cota with proprietary information of Fleet, each disclosure being required under Federal Rule of Criminal Procedure 16, or pursuant to the United

States' disclosure obligations under *Brady*, *Giglio*, or *The Jencks Act*. Some of the information produced may be subject to the provisions of the Privacy Act, 5 U.S.C. § 552a, to the provisions of 45 C.F.R. §§ 164.102-164.534, or to the provisions of 42 U.S.C. § 1306, or there may be no waiver by the patient to produce the records to any entity other than the United States. There are other categories of documents, set forth further below, that the parties agree are also deserving of additional protection at this time.

While it is the intent of the parties that certain information be limited in distribution pursuant to this Order, it is not the intent of the parties that the Protective Order should, in any way, restrict the parties, or the parties' attorneys, experts, witnesses, or consultants from participating in any of the related civil cases that arise from the allision on November 7, 2007, simply because they have reviewed materials covered by the Protective Order. To the extent that materials covered by the Protective Order are relevant and discoverable in the civil cases the parties intend to address such issues with the Court before producing any documents covered by the Protective Order in the related civil matters.

With the above statements and caveats in mind, the parties agree and stipulate that the following materials ("designated Confidential Information") shall be covered under this Protective Order:

(A) Any document which includes health information of any person, including Captain Cota. Such documents shall include, but not be limited to, medical records, pharmacy records, licensing records which reference identifiable health information, and any other documents that reference identifiable health information of any person.

However, only the particular health information in the document is covered by this Order;

(B) Any identifiable personal information of any person, including a person's address, phone number, social security number, date of birth, or driver's license number;

(C) Any engineering records regarding the design, construction, or operation of machinery, including the *M/V Cosco Busan* itself, and

any computer equipment including hardware, software and data maintained on board the *M/V Cosco Busan*, Fleet's contract information; Fleet's Safety Management System (SMS) and related software; and financial information relating to Fleet and its business with the exception that applicable portions of Fleet's Safety Management System including various policies, requirements and procedures may be referred to in briefs and attachments so long as the entire SMS is not attached or disclosed in such pleadings;

(D) Contract information, including contract rates, regarding any clean up of the November 7, 2007 oil spill;

(E) Documentation and information relating to the claims process and settlement of claims arising from the incident;

(F) Any government policies and manuals that are already identified as "FOUO" or "For Official Use Only", and

(G) Any documents found on Disc 9 of the United States's discovery production, which constitute the National Transportation Safety Board's (NTSB) Non-Public Docket, as well as drafts of the NTSB Report, unless and until they are made public.

The parties further agree and stipulate that the materials contained in paragraphs (A) - (G) will be handled in accordance with the conditions set forth below. In order to protect the confidentiality of this information, and with the stipulation of the parties, the parties request that the Court enter the following protective order:

1. Defendant Fleet Management Ltd; Defendant Captain John Cota, and the United States Attorney/Department of Justice, including their counsel and their employees, shall use these documents only for the purposes of litigating this criminal action, and agree not to disclose these materials to non-parties to the criminal action except as needed for the defense or prosecution of the criminal action and only if the nonparty signs this letter, or another copy of this letter. This restriction however does

not, in any way, restrict the parties, or the parties' respective attorneys, experts, witnesses, or consultants from participating in the related civil matters that arise from the allusion on November 7, 2007, simply because they have reviewed materials covered by the Protective Order, nor does it prevent criminal counsel from sharing this information with attorneys assisting in the defense of the civil matters. To the extent that materials covered by the Protective Order are relevant and discoverable in the civil cases the parties intend to address those issues with the Court separately when the need arises in the civil matters.

2. If any party wishes to utilize the services of any non-party, including but not limited to an investigator, expert or other individual to review the protected materials, prior to receiving access to the materials, such individual must sign a copy of the "Acknowledgment of Protective Order and Proper Handling of Materials" attached to a copy of this Order acknowledging that:

- a) he/she has reviewed the Order;
- b) he/she understands its contents;
- c) he/she agrees that he/she will only access the protected materials for the purposes of preparing the case;
- d) he/she will disclose its contents to another third party without further order of the Court; and
- e) he/she understands that failure to abide by this Order may result in sanctions by this Court.

3. All parties shall maintain a file of signed copies of the Order along with the signed Acknowledgment of Protective Order and Proper Handling of Materials Subject Thereto Order. If any party believes that there has been unauthorized disclosure of protected information, such party may file a motion with the Court requesting inspection and review of these documents. To the extent disclosure of such documents would materially compromise the defense of either Captain Cota or Fleet, the parties agree that such inspection and review shall be made ex parte and under seal to the

Court for purposes of determining if an unauthorized disclosure occurred.

4. All parties further agree that, absent an order of the Court, these confidential documents will not be filed with the Court, or submitted to the Court or reproduced in any court filing, unless the document or filing is placed under seal or all information that would be covered by this order has been removed.

5. The parties agree that the procedures for use of designated confidential documents during any hearing or the trial of this matter shall be determined by the parties and the Court in advance of the hearing or trial. This Order is not intended to limit the use of any information or document at trial but it is the intent of this agreement to ensure that these issues are ruled upon in advance by the Court. No party shall disclose designated confidential documents in open Court without prior consideration by the Court.

6. The parties agree that nothing in this Protective Order prohibits the disclosure of documents or information that have been or are in the future disclosed to the public through other sources than the United States' production of discovery in this case unless it is determined that such other disclosure was improper.

7. The parties agree that no party in this case shall produce any designated Confidential Information that is subject to this Order in any other legal or administrative proceeding other than this criminal matter without the express written consent of the party with respect to which the confidential information relates or pursuant to the procedure contemplated by paragraph 1.

SO STIPULATED


DATED: 10/1/08

  
MARC GREENBERG  
Attorney for Defendant Fleet Management Ltd

DATED: 10/17/08

  
JEFFREY BORNSTEIN  
Attorney for Defendant John Joseph Cota

1 DATED: 10/7/08

  
STACEY P. GEIS  
JONATHAN SCHMIDT  
Assistant United States Attorneys

2

3

4

5

IT IS ORDERED that disclosure of the above-described discovery materials shall be  
6 restricted as set forth above.

6

7

8 DATED:

  
THE HONORABLE SUSAN ILLSTON  
United States District Court Judge

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

**Acknowledgment of Protective Order and Proper Handling of Materials Subject  
Thereeto**

I \_\_\_\_\_ am employed as a(n) \_\_\_\_\_ and I am assisting  
\_\_\_\_\_ (attorney) in the preparation of the matter of the *United States v. John  
Joseph Cota and Fleet Management Ltd.*

In anticipation of reviewing materials that contain confidential information, I have reviewed  
the attached Order. I understand its contents. I agree that I will only access the protected  
materials for the purposes of preparing the case. I will not make any copies of any of the  
protected materials or otherwise disclose them to any third party without further order of the  
Court. I understand that failure to abide by this Order may result in sanctions by this Court.